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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Serial no.

Confirmation No.

Filed For

Group Art Unit Examiner Docket:

Frederick Leslie BROWN

10/555,921

with an effective filing date of May 26, 2004 FORK LIFT TRUCK WITH A SINGLE FRONT

WHEEL

3611

Maurice L. Williams **CUNANT 1716US**

The Commissioner for Patents U.S. Patent & Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450

37 C.F.R. § 1.131 DECLARATION

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- I, Frederick Leslie BROWN, a citizen of the country of _ residing at _ _____, hereby declare as follows:
- 1. I am the sole inventor of the claimed subject matter in the above identified application as well as the subject matter disclose in divisional patent application serial number 12/273,963 file on November 19, 2008.
- 2. That some time on or before November 25 2002, I conceived of the invention that is the subject matter disclosed and claimed in the above identified application ("the invention"), and produced the three sheets of drawings which are attached to this Declaration, as Exhibit A.
- Sometime after November 25, 2010 and before December 17 2002, I communicated with Attorney John Jones, of the law firm of Withers & Rogers, 75 Colmore Row, Birmingham, UK, and instructed him to prepare a patent application covering the invention based upon an invention disclosure, which included Exhibit A.
- 4. In response to those instructions, I received from Attorney John Jones a one page letter and a one page set of claims, dated December 17, 2002, discussing the invention and suggesting a proposed set of claims covering the invention.
- 5. The application that the attorneys at Withers & Rogers commenced drafting was eventually finalized and filed in Great Britain on May 30, 2003 as serial no. GB 0312343.7

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and this application is the priority application for of the above identified application and the divisional application.

- 6. Prior to January 14, 2003, I worked diligently on the invention in the United Kingdom until the invention was constructively reduced to practice and subsequently filed in Great Britain as serial no. GB 0312343.7 on May 30, 2003.
- 7. The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent(s) issuing thereon.

Date	Frederick Leslie BROWN

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Our Ref: P303788GB/JBJ Your Ref:

17 December 2002

Dear Freddy

New UK Patent Application
3 Wheel, Single Front Wheel Drive Truck

Please find enclosed my initial thoughts on claims covering your three wheel truck invention.

In particular, you will see that claim 1 avoids Erickson on two counts, firstly because the vertical steering pivot is fixed relative to the rear body, and secondly because the front wheel is driven.

Consideration of Erickson shows that the two front wheels 57 are each mounted on the ends of stub axles 56 (see column 4 lines 18-23 and the cross section of the sub axle at figure 5). This helps, since it would be very difficult to arrange a drive mechanism to drive both the front wheels of Erickson.

You will note that draft sub claims 7, 8 and 9 are directed towards a very specific features of your invention. Nevertheless, in view of the limited space envelope on lift trucks, it might be commercially very difficult to avoid these claims when manufacturing a single front wheel drive lift truck. Please ring me to discuss the draft claims in order that we can decide whether or not you wish to file an application.

Yours sincerely

John B Jones

WITHERS & ROGERS

Enc : Draft claims

Ref. to (2002)

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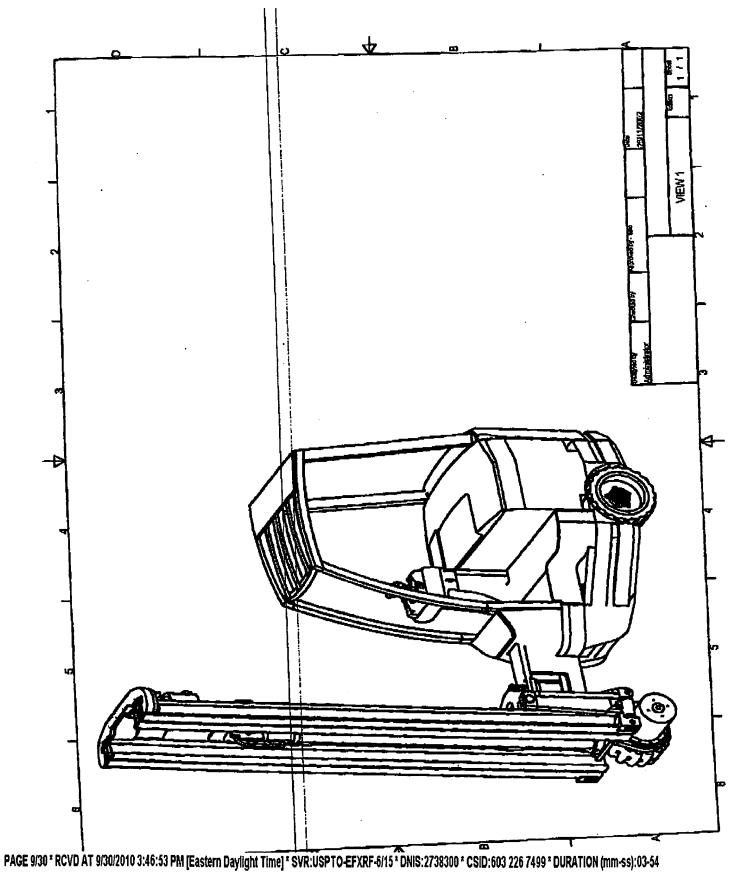
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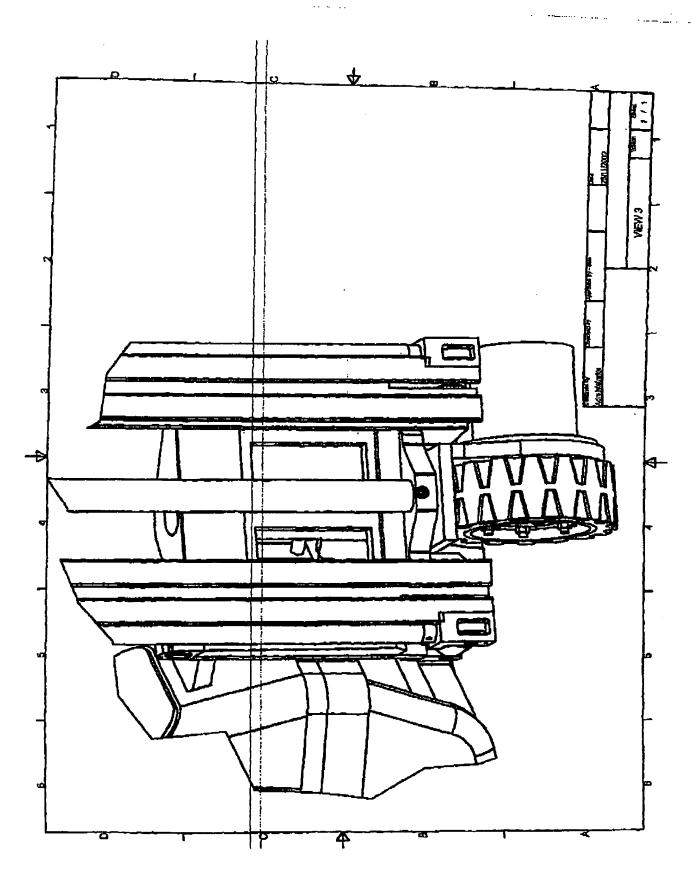
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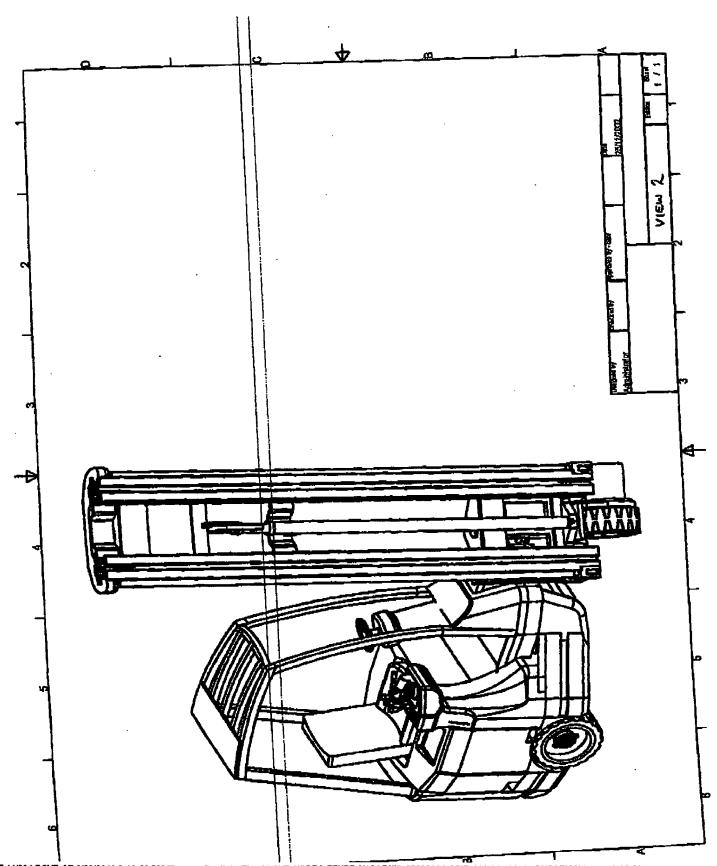
Claims

- 1. A lift truck having a rear body connected via a pivot to a front steering frame, the pivot axis being substantially vertical and fixed relative to the rear body, the rear body being supported on two spaced apart wheels, the front steering frame being supported on a single front wheel arrangement, in which the wheel arrangement is driven.
- 2. A lift truck as defined in claim 1 in which the front wheel arrangement is the sole. means of propelling the lift truck.
- 3. A lift truck as defined in claims 1 or 2 in which the front wheel arrangement rotates about a horizontal axis which is fixed relative to said substantially vertical axis.
- 4. A lift truck as defined in any preceding claim in which the front wheel is driven by a motor which is fixed relative to the front steering frame.
- 5. A lift truck as defined in claim 4 in which the motor axis is parallel to the axis of rotation of the wheel arrangement.
- 6. A lift truck as defined in claim 5 in which the motor axis is coincent with the axis of rotation of the wheel arrangement.
- 7. A lift truck as defined in any one of claims 4 to 6 in which the front steering frame includes a substantially vertical flange offset from the centre line of the truck which supports the wheel arrangement.
- S. A lift truck as defined in claim 7 in which the wheel is arranged on one side of the thange and at least a part of the motor is positioned on the other side of the flange.
- A lift truck as defined in any one of claims 4 to 8 in which a mast is positioned above the wheel arrangement and above the motor.





PAGE 10/30 * RCVD AT 9/30/2010 3:46:53 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/15 * DNIS:2738300 * CSID:603 226 7499 * DURATION (mm-ss):03-54



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Fork Lift Truck

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The present invention relates to fork lift trucks of the kind designed for use in narrow aisles of warehouses and the like, where the truck is to deposit loads in and remove loads from the face of a stack, in a direction transverse to the length of the aisle, that is at right angles to the face of the stack.

In order to maximise the storage area of a warehouse, it is desirable to make the aisles of the minimum width possible. The aisles must however be wide enough to permit the manoeuvring of fork lift trucks to deposit a load in or remove a load from the stacks.

In order to improve the manoeuvrability of the fork lift trucks and thus reduce the aisle width, GB 2234214, the disclosure of which is incorporated herein by reference thereto, discloses a fork lift truck with two parts that are pivoted together. The rear part comprises a truck body which carries the driver, propulsion unit and counterweights to balance loads carried by a lifting mechanism mounted on the front part. A pair of driven wheels are provided on the truck body and a pair of non-driven wheels are provided on the front part, as close as possible to the load bearing part of the lift mechanism. The truck is steered by turning the front part relative to the truck body, about the pivot axis.

In accordance with EP0855362 a lift truck has a truck body and a lift mechanism connected to the truck body by means of a vertically extending pivot. At least one steerable wheel proximal the lift mechanism is pivotable about a second axis to enable steering of the truck. A pair of ground engaging wheels are provided on the truck body and drive means are provided to drive any one of the wheels.

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In order to permit loads to be deposited or removed from the stacks at right angles to the aisles, the front part is preferably capable of being turned at 90° or more to the truck body. As the front wheels approach 90°, the drive from the rear wheels will cause the front wheels to slide sideways along the aisle, rather than steering the truck towards the position in the stack into which a load is to be deposited or from which a load is to be removed.

In order to overcome this problem, it has been proposed, for example as disclosed in GB 2263088 or GB 2255941, the disclosure of which js

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incorporated herein by reference thereto, to provide differential drive to the rear wheels, in order to produce a steering effect.

A more effective approach, as disclosed in GB 2265344 and EP 1201596, the disclosure of which is incorporated herein by reference thereto, has been to drive the front wheels, instead of or in addition to the rear wheels. However in order to provide stability, it is necessary for the weight distribution in this type of lift truck to be very much to the rear of the truck. It is consequently necessary with front wheel drive systems of this type, to provide an articulated front axle to ensure that both front wheels remain in driving engagement with the floor, in spite of irregularities in the floor surface. This will generate further stability problems, particularly with elevated loads and in practice articulation of the front axle must be limited to provide a maximum upward and downward movement of each wheel, of about 25mm. Even when the front axle is articulated in this manner, wheel spin is libel to occur if there are variations in the floor surface in excess of 20mm in 1.5m, which is typical for a newly laid warehouse floor.

According to one aspect of the present invention, a fork lift truck comprises a truck body, a lift mechanism connected to the truck body by means of a vertically extending pivot and means for turning the lift mechanism relative to the truck body about said pivot to steer the truck, the truck body having a pair of rear ground engaging wheels mounted on transverse axes, characterised in that the lifting mechanism has a single ground engaging front wheel mounted centrally on a transverse axis, the front wheel having independent drive

means.

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The present invention provides a front wheel drive fork lift truck which will overcome the steering problems associated with rear wheel drive trucks of this type. Furthermore as the single front wheel will always be in driving engagement with the floor, irrespective of irregularities in the surface of the

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floor, there is no need for articulation of the front axle and the problems associated therewith.

The front wheel may be driven, for example by a hydraulic or electric motor.

- The motor is preferably coupled directly to the wheel and the motor or a gearbox associated therewith partially built into the wheel to reduce the bulk and minimise the width of the mechanism. The power source for the wheel motor and also for the steering mechanism by which the lifting mechanism is turned about the pivot and for the lifting mechanism itself, for example a hydraulic pump driven by an engine, an engine driven electrical generator or a
- hydraulic pump driven by an engine, an engine driven electrical generator or a battery pack, is housed in the truck body. The rear wheels may also be driven in addition to the front wheel.

In accordance with a preferred embodiment of the invention, the front wheel is mounted centrally on a transverse axis as far forward towards the load bearing part of the lifting mechanism as possible, in order to maximise the load bearing capability of the truck.

The invention is now described, by way of example only, with reference to the accompanying drawings, in which:-

Figure 1 is a perspective view of one embodiment of a fork lift truck in accordance with the present invention;

Figure 2 is a diagrammatic side elevation of the fork lift truck shown in figure 1;

Figures 3 to 5 are diagrammatic plan views of the fork lift truck shown in figure 1, at various stages of a manoeuvring operation;

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Figure 6 is a circuit diagram for a three wheel drive lift truck in accordance with an alternative embodiment of the present invention; and

<u>Figure 7</u> is a diagrammatic plan view of an alternative embodiment of the invention.

As illustrated in figures 1 and 2, a fork lift truck 10 comprises a truck body 12 and lifting mechanism 14.

The truck body 12 has a pair of rear wheels 16 mounted on a common axis, which is transverse to the longitudinal axis of the lift truck. The wheels 16 have solid tyres 18. The truck body provides a cabin 20 having a seat 22, steering controls 24, drive control pedals 26 and lifting controls 28. Means, for example, a battery pack or engine driven generator or hydraulic pump, for providing power to the various systems of the truck 10 are also mounted in the truck body 12, together with counter balance weights.

15 The lifting mechanism 14 comprises a telescopic mast 30 comprising several rails 32, which may be moved in telescopic manner. A fork carriage 34 is mounted on the mast 30 for movement longitudinally of the rails 32. A pair of load engaging forks 36 are provided on the fork carriage 34. Drive means (not shown), for example hydraulic motors or rams, or electric motors are provided for extending the mast and for moving the fork carriage. Furthermore, means, for example a hydraulic ram (not shown) may be provided for tilting the mast 30, backwards from the vertical, in conventional manner.

A single front wheel 40 is mounted on the lifting mechanism 14, on a fixed axle which is transverse to the longitudinal axis of the truck when in the streight ahead position. The front wheel 40 is mounted beneath the mast 30 centrally of the lifting mechanism 14 and as far forward towards the forks 36 as possible, without fouling loads mounted on the forks 36. The wheel 40 has a solid tyre 42.

An electric motor 44 is mounted coaxially of the wheel 40 and is coupled to the wheel 40 by means of a gearbox 46 which is built partially into the hub of Daleted: 1

the wheel 40, in order to reduce the overall width of the wheel 40/motor 44/gearbox 46 unit.

The lifting mechanism 14 is pivotally connected to an arm 50 which extends forwardly from the front of the truck body 12, by means of a vertical bearing tube assembly 52. A steering mechanism, for example a hydraulic or electric motor and gear or chain mechanism, or hydraulic rams (not shown), is provided for turning the lifting mechanism 14 relative to the truck body 12 under control of the steering control 24, in order to steer the fork lift truck 10.

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Figures 3 to 5 show a typical manoeuvre required to deposit or remove a load in bay 62 of a stack 60. The fork lift truck 10 is driven along an aisle 64 between two stacks 60. With the lifting mechanism 14 in the straight ahead position, similar to that illustrated in figure 3. As the truck 10 approaches the bay 62, the truck 10 is manoeuvred by turning lifting mechanism 14, so that the truck body is close into the stack 60 but angled away from the bay 62, as illustrated in figure 3. The lifting mechanism 14 is then turned towards the bay 62, while the truck 10 is driven forward by motor 44, so that the forks 36 gradually move into the bay 62, as illustrated in figure 4. Eventually the forks 36 are disposed at right angles to the stack 60, as illustrated in figure 5. The truck 10 may then be driven by motor 44, while reducing the steering angle, so that the forks 36 enter the bay 62 at right angles to the stack, so that a load mounted thereon may be deposited in the bay 62 or a load may be removed from the bay 62.

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The fork lift truck 10 is at its least stable position when the lifting mechanism 14 is positioned at 90° to the line X – X joining the points of contact of the front wheel 40 and inside rear wheel 16 with the ground. In this position the load mounted on the forks 36 will produce a moment about the line X – X. In order to balance the load carried by the truck 10, the centre of gravity of the truck must be positioned as far rearwardly as possible, in order to maximise the distance y between the centre of gravity and line X – X.

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As the lifting mechanism 14 is rotated and the truck 10 is driven by the motor 44, the speed of the inside rear wheel 16 will reduce with increasing steering angle, until when the point of intersection A of the axis of the front wheel 40 with the axis of the inside rear wheel 16 coincides with the point of contact of the inside rear wheel 16 with the ground, the inside rear wheel 16 will be stationary, the truck 10 pivoting about the inside rear wheel 16. When the steering angle increases beyond this point, the inside read wheel 16 will rotate backwards

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While in the above embodiment only the front wheel 40 is driven, in an alternative embodiment, all three wheels 16,40 may be driven independently by individual electric motors. When all three wheels 16,40 are driven in this manner, the individual electric motors are preferably connected to a power source, in a manner such that under the forces generated by the drive applied to the front wheel 40 and outside rear wheel 16, the inside rear wheel 16 will automatically slow down as the steering angle increases and will eventually reverse, the power to the inside rear wheel 16 being automatically diverted to one or both of the other wheels 16,40.

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For example, as illustrated in figure 6, electric motors 60, 62 powering the rear wheels 16 of a truck 10, may be connected in series, to a suitable power source 64, for example a battery or an engine driven generator. The electric motor 44 driving the front wheel 40, is connected to the power source 64, in parallel with the electric motors 60, 62. The power source 64 is connected to the motors 44, 60, 62, by switch means 66 by which the power may be reversed, to reverse the motors 44, 60, 62. The circuit also includes a start switch 67 and means 68 controlled by the drive control pedal 26, to control the speed of the motors 44, 60, 62.

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With this arrangement, as the truck 10 turns, the increasing load applied to the inside rear wheel 16, causes the motor 60 driving that wheel 16 to slow down.

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Deleted: turning the outside rear whoel 16 will spood up, while the inside rear wheel 16 will slow down and reverse, when the steering angle is such that the point of intersection A is inside the inside rear wheel 16. Alternatively, the wheels may he

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This in turn causes an Increase in the current in the circuit connecting motors 60, 62 and an increase in the torque applied by motor 62 to the outside rear wheel 16. The inside and outside rear wheels 16 will thus automatically run at different speeds, as the truck 10 turns.

According to a further embodiment, the motor 44 driving the front wheel 40, may also be connected in series with the motors 60, 62 driving the rear wheels 16, so that the torque applied to the front wheel 40 will also increase, as the truck 10 turns.

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In the embodiment illustrated in figure 7, the front and rear wheels 16,40 are driven by hydraulic motors 70, 72, 74, respectively. The hydraulic motors 70, 72, 74 are built into the hubs of the wheels 16,40. Hydraulic fluid is supplied under pressure to the hydraulic motors 70, 72, 74 by means of a hydraulic pumps 76,78 mounted in the truck body12. The hydraulic pumps 76,78 are driven by an internal combustion engine 80 powered by a fuel gas or similar fuel. Hydraulic fluid is pumped from a reservoir 82, by means of a low pressure auxiliary pump 76, to a high pressure pump 78. A distribution block 84 is provided to permit automatic variation in the flow of hydraulic fluid to the motors 70, 72, 74 to control the speed and direction of the motors 70, 72, 74, by means of feed and return lines 86,88. Flexible hydraulic pressure hoses 90 are provided in the hydraulic lines 86,88 between the distribution block 84 and motor 70 driving the front wheel 40, in order to permit pivoting of the lifting mechanism 14.

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The speed of the truck 10 is controlled by engine speed and adjusting the angle of the swash plate of pump 78. The direction of motion of the truck 10 is controlled by means of solenoids, which reverse the direction of flow in lines 86,88 from the pump 78.

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Other systems of the fork lift truck 10, for example the steering, the mast extension means, lifting mechanism and means for tilting the mast, are powered by hydraulic fluid from independent source.

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The hydraulic motors 72, 74 driving the rear wheels 16 of the truck 10 are connected to the pump 78 in series, so that as the inside rear wheel 16 slows down when the truck 10 is turning, the flow rate of fluid to the motor 72 driving that wheel 16 will reduce, while the flow rate of fluid to the motor 74 driving the outside rear wheel 16 will increase. The outside rear wheel 16 will thus be automatically driven at a speed greater than that of the inside rear

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wheel 16.

The hydraulic motor 70 driving the front wheel 40 may be connected to the pump 76 in series with the motors 72 and 74, or may be connected to the pump 78 or a separate pump, by a separate, parallel hydraulic circuit.

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Various modifications may be made without departing from the invention. For example while in the embodiment described with reference to figures 1 to 5, an electric motor is used to drive the front wheel, a hydraulic motor or other suitable drive means may be used. Similarly, in the three wheel drive embodiments described with reference to figures 6 and 7, the electric or hydraulic motors may be replaced by other suitable drive means.

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The present invention is also applicable to pedestrian operated fork lift trucks in 25 which the operator walks behind the truck.

Claims

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1. A fork lift truck (10) comprising a truck body (12), a lift mechanism (14) connected to the truck body (12) by means of a vertically extending pivot (52) and means (24) for turning the lift mechanism (14) relative to the truck body (12) about said pivot (52) to steer the truck (10), the truck body (12) having a pair of rear ground engaging wheels (16) mounted on transverse axes, characterised in that the lifting mechanism (14) has a single ground engaging front wheel (40) mounted centrally on a transverse axis, the front wheel (40) haing independent drive means (44, 46).

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2. A fork lift truck (10) according to claim 1 characterised in that the lifting mechanism (14) may be pivoted to the truck body (12) at a steering angle of substantially 90° or more.

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3. A fork lift truck (10) according to claim 1 or 2 characterised in that the front wheel (40) is positioned forwardly of the pivot connection (52) between the truck body (12) and the lifting mechanism (14).

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4. A fork lift truck (10) according to any one of the preceding claims characterised in that lifting mechanism (14) has a mast (30), the front wheel (40) being mounted beneath the mast (30), centrally of the lifting mechanism (14).

Deleted: according to any one of the preceding claims in which the front wheel is positioned as for forward as possible towards the load bearing part of the lifting mechanism.

5. A fork lift truck (10) according to any one of the preceding claims characterised in that independent drive means (60,62;72,74) are provided to drive each of the rear wheels (16).

any one of the preceding claims in which the rear wheels are independently drivan.

5. . A fork lift truck according to

6. A fork lift truck according to slaim 5 in which means is provided for the differential drive of the rear wheels.

6. A fork lift truck (10) according to claim 5 characterised in that the drive means (60,62;72,74) for the rear wheels (16) are connected to a power source (64;76), so that the rear wheels (16) may be driven automatically at different speeds.

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	7. A fork lift truck (10) according to claim 5 characterised in that the drive	
	means (60,62;72,74) for the rear wheels (16) are connected to a power source	
	(64;76) in a manner which will permit power to be diverted automatically from	
5	the rear inside wheel (16) to one or more of the other wheels (16,40), in	Deleted: wheels, as a function of
	accordance with the steering angle.	
	8. A fork lift truck (10) according to any one of the preceding claims	
	characterised in that the or each wheel (16,40) is driven independently by an	Deleted: in which the wheels are
10	hydraulic or <u>an</u> electric motors (44,60,62;70,72,74).	
	9. A fork lift truck (10) according to claim 8 characterised in that the motor	Deleted: which
	(44) is connected to the wheel (40) by a gearbox (46).	Deleted: by a geerbox.
15	10. A fork lift truck according to claim 8 or 9 characterised in that the motor	Deleted: which
	(44) and/or gearbox (46) is built partially into a hub of the wheel (40).	
	, —— · · · · · · · · · · · · · · · · · ·	
	11. A fork lift truck according to any one of claims 8 to 10 characterised in	
	that the power for the motors (44,60,62;70,72,74) is provided by an engine	Deleted: which
20	(80) driven generator or hydraulic pump (76,78), or by a battery pack (64).	
	,	
	12. A fork lift truck according to claim 11 characterised in that the engine	Deleted: which
	(80) is an internal combustion engine powered by fuel gas.	
		Deleted: ¶ 13 . A fork lift truck substantially as
		described herein with reference to and as shown in figures 1 to 5 or
		figure 6 of the drawings. ——Section Break (Next Page) ———
		9

Abstract

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A fork lift truck (10) has a truck body (12), a lift mechanism (14) connected to the truck body (12) by means of a vertically extending pivot (52) and means (24) for turning the lift mechanism (14) relative to the truck body (12) about said pivot (52) to steer the truck (10), the truck body (12) having a pair of rear ground engaging wheels (16) mounted on transverse axes, the lifting mechanism (14) having a single ground engaging front wheel (40) mounted centrally on a transverse axis, the front wheel (40) having independent drive means (44, 46).

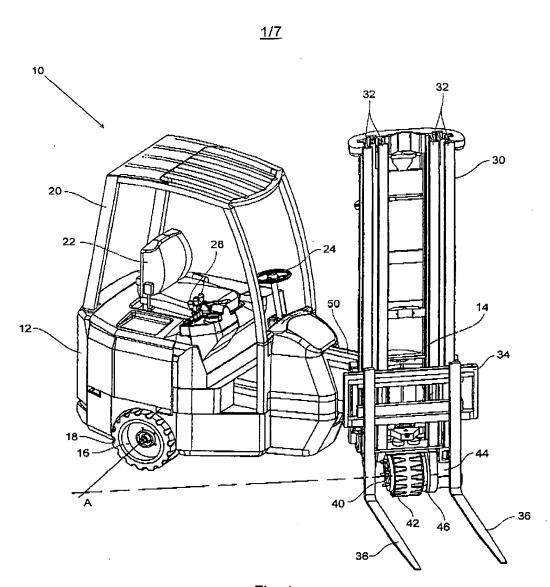
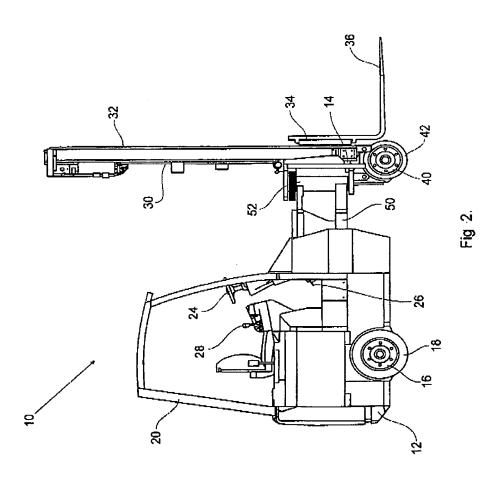
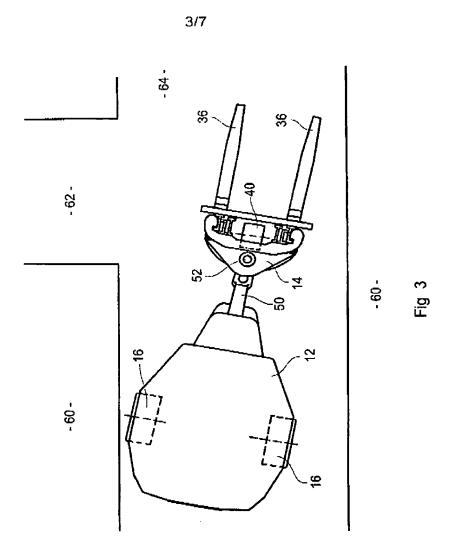


Fig 1

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